DP TradeKING Private Limited



3rd Floor, Silver Oak, Opp. Panchal Hall, Town Hall Road, Anand 388001.

SEBI Registration no.: INZ000097030

NSE TM Code: 90100 | BSE Clearing Code: 6650

Investor Complaint Data: Trading Member

Data for every month ended: August 2023

| Sr. No. | Received from | Carried forward from | Received during the | Total Pending | Resolved* | Pending at the end of the month** Pending Pending | | Average Resolution time^ |
|------------|--------------------|----------------------------|---------------------------|------------------|-----------|---|----------|--------------------------------|
| | | previous | month | | | for less | for more | (in days) |
| | | month | | | | than 3 | than 3 | |
| | | | | | | months | months | |
| | 1 | 1 | Ī | T | T | T | T | |
| 1. | Directly | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | from | | | | | | | |
| | Investors | | | | | | | |
| 2. | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3. | Stock | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Exchanges | | • | • | | • | | |
| 4. | Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Sources (if | | | | | | | |
| | any) | | | | | | | |
| | | | | | | | | |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints - Last 12 Months

| Sr. No. | Month | Carried forward from previous month | Received | Resolved | Pending |
|------------|----------------|-------------------------------------|----------|----------|---------|
| | , | | | | |
| 1. | August 2023 | 0 | 0 | 0 | 0 |
| 2. | July 2023 | 0 | 0 | 0 | 0 |
| 3. | June 2023 | 0 | 0 | 0 | 0 |
| 4. | May 2023 | 0 | 0 | 0 | 0 |
| 5. | April 2023 | 0 | 0 | 0 | 0 |
| 6. | March 2023 | 0 | 0 | 0 | 0 |
| 7. | February 2023 | 0 | 0 | 0 | 0 |
| 8. | January 2023 | 0 | 0 | 0 | 0 |
| 9. | December 2022 | 0 | 0 | 0 | 0 |
| 10. | November 2022 | 0 | 0 | 0 | 0 |
| 11. | October 2022 | 0 | 0 | 0 | 0 |
| 12. | September 2022 | 0 | 0 | 0 | 0 |
| | | | | | |
| | Grand Total | 0 | 0 | 0 | 0 |

Trend of annual disposal of complaints

| Sr. No. | Financial Year | Carried forward from previous Year | Received during the year | Resolved during the year | Pending at the end of the year |
|------------|-----------------------|--|-----------------------------|-----------------------------|--------------------------------|
| | Г | T | Г | Г | |
| 1. | 2017-18 | 0 | 0 | 0 | 0 |
| 2. | 2018-19 | 0 | 0 | 0 | 0 |
| 3. | 2019-20 | 0 | 1 | 1 | 0 |
| 4. | 2020-21 | 0 | 2 | 2 | 0 |
| 5. | 2021-22 | 0 | 1 | 1 | 0 |
| 6. | 2022-23 | 0 | 0 | 0 | 0 |
| 7. | 2023-24 (Till Aug'23) | 0 | 0 | 0 | 0 |
| | | | | | |
| | Grand Total | 0 | 4 | 4 | 0 |

DP TradeKING Private Limited





SEBI Registration no.: INZ000097030 NSDL Depository Participant: IN304182

Investor Complaint Data: Depository Participant

Data for every month ended: August 2023

| Sr. No. | Received from | Carried forward | Received during | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution |
|------------|-------------------------------|---------------------------|-----------------|------------------|-----------|---|---|-----------------------|
| | | from previous month | the month | | | Pending for less than 3 months | Pending for more than 3 months | time^ (in days) |
| 1. | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2. | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3. | Stock Exchanges | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4. | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints - Last 12 Months

| Sr. No. | Month | Carried forward from previous month | Received | Resolved | Pending |
|------------|----------------|-------------------------------------|----------|----------|---------|
| | A | | | | 0 |
| 1. | August 2023 | 0 | 0 | 0 | 0 |
| 2. | July 2023 | 0 | 0 | 0 | 0 |
| 3. | June 2023 | 0 | 0 | 0 | 0 |
| 4. | May 2023 | 0 | 0 | 0 | 0 |
| 5. | April 2023 | 0 | 0 | 0 | 0 |
| 6. | March 2023 | 0 | 0 | 0 | 0 |
| 7. | February 2023 | 0 | 0 | 0 | 0 |
| 8. | January 2023 | 0 | 0 | 0 | 0 |
| 9. | December 2022 | 0 | 0 | 0 | 0 |
| 10. | November 2022 | 0 | 0 | 0 | 0 |
| 11. | October 2022 | 0 | 0 | 0 | 0 |
| 12. | September 2022 | 0 | 0 | 0 | 0 |

| Grand Total | 0 | 0 | 0 | 0 |
|-------------|---|---|---|---|
|-------------|---|---|---|---|

Trend of annual disposal of complaints

| Sr. No. | Financial Year | Carried forward from previous Year | Received during the year | Resolved during the year | Pending at the end of the year |
|------------|-----------------------|------------------------------------|-----------------------------|--------------------------|--------------------------------|
| 1. | 2017-18 | 0 | 0 | 0 | 0 |
| 2. | 2018-19 | 0 | 0 | 0 | 0 |
| 3. | 2019-20 | 0 | 0 | 0 | 0 |
| 4. | 2020-21 | 0 | 0 | 0 | 0 |
| 5. | 2021-22 | 0 | 1 | 1 | 0 |
| 6. | 2022-23 | 0 | 0 | 0 | 0 |
| 7. | 2023-24 (Till Aug'23) | 0 | 0 | 0 | 0 |
| | | | , | , | |
| | Grand Total | 0 | 1 | 1 | 0 |